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#### Description

This invention relates to a sealing composition for various substrates, including floors or metallic surfaces. In particular, it relates to an improved self-polishing sealer for floors, which provides better adhesion for later-applied, water-based floor finishes:

Sealing compositions have been widely utilized and are normally applied over substrates to prepare them for final finishes. Commercially available sealers for floors, metal parts and other substrates have several major limitations. For example, resilient floors, which have not been thoroughly cleaned or which have been maintained with buffable waxes, tend to build up hydrophobic residues of oily or waxy hydrocarbons. Further, a protective hydrophobic or mill finish coating is often applied to new flooring. Flooring, which has been maintained or prepared to contain a hydrophobic coating or residue, resists application of water-based waxes, sealers or protective coating, due to a lack of adhesive sites.

Metal parts are often coated with waxy or oily release agents to expedite processing in moldforming machines. A rust retardant must be able to penetrate the release agent to adhere to and seal
the part. Machine parts having a lubricating layer require a protective paint finish, periodically.
Conventional water-based paints do not adhere to oily lubricated surfaces. Tile floors contain minute
pores which have a tendency to collect waxes and other residues. These waxes and residues form a
seal on the tile and prevent the tile beneath from being wetted by water-based finishes.

In each of the problem areas illustrated above, a sealing composition is required which can penetrate the oily or waxy residues to provide anchoring or adhesion sites for a water-based finish, such as a floor polish, paint, wax or protective coat.

Previously, substrates with accumulated hydrophobic residues were treated with hydrocarbon-solvent-based sealers. However, such organic solvent-based sealers have been criticized as environmentally undesirable, as unduly expensive and wasteful of petroleum feedstocks and as detrimental to work-place safety. Such organic solvent based sealers are not self-polishing and require buffing. Water-based coating compositions are preferred, since they meet previous objections and can be formulated to be self-polishing. Unfortunately, prior, water-based compositions do not provide sufficient adhesion to substrates previously treated with oil-based buffable finishes.

It is most desirable for many applications that the sealing composition dry to a glossy film (drybright) without the need for time-consuming and expensive buffing steps. It has, therefoe, long been desired to provide a self-polishing sealing composition for substrates having pores filled with hydrophobic residues, which will provide enhanced adhesive sites for a later applied water-based finish.

In columns 7 and 8 of U.S. Patent 2,862,899 there is disclosed an emulsion polish optionally employing a hydrocarbon solvent. The solvent functions to disperse or dissolve the active wax and polytrifluorochloroethylene ingredients. The polish does not dry bright and normally requires buffing to achieve a glossy finish. Minor amounts of resin, below 10% by weight, are optionally employed as a diluent for the wax.

A water-based coating composition containing a hydrocarbon is disclosed, in U.S. Patent 3,086,871, as useful for plugging pores in chrome plate. The composition must be buffed to provide gloss and does not contain film-forming polymers. U.S.—A—2,993,800 describes compositions useful as sizings for paper, which employ solvents to dissolve wax for ease in handling. U.S.—A—3,393,078 discloses a water based emulsion polish containing a volatile hydrocarbon and a wax. This composition does not dry bright, but is buffed after application. No film-forming polymeric coating agents are present.

Other patents which disclose polish and/or wax compositions are FR—A—1,407,957, FR—A—2,005,996, FR—A—1,089,697, and LU—A—32814.

It is an object of this invention to provide a storage stable, self-polishing aqueous sealing composition for a substrate subject to accumulation of hydrophobic residues so as to penetrate said hydrophobic residues and increase the adhesion of the substrate to later applied finishes. The present invention also provides a stable, self polishing, aqueous sealer to improve the adhesion of water-based floor finishes to floor surfaces having waxy residues accumulated in pores.

The present invention provides a storage stable, self-pollshing, sealing composition for a substrate which is subject to accumulation of hydrophobic organic residues which reduce the adhesion of said substrate to water-based finishing compositions, said composition comprising: an aqueous vehicle having therein a self-polishing, film-forming polymeric coating agent; and an emulsified hydrocarbon solvent having a solubility parameter of from 7.8 to 9.8 which is present in sufficient amounts to penetrate said accumulated hydrophobic organic residues and increase the adhesion of said substrate to water-based finishing compositions and a non-lonic surfactant compatible with said hydrocarbon solvent wherein said surfactant has an HLB of 12.5—15.5.

As employed herein, the term "self-pollshing film-forming polymeric coating agent" refers to polymer systems which can be stored for prolonged periods, are substantially free from gelling, changes in viscosity or phase separation and which dry from an aqueous carrier to a glossy finish. As employed herein; the term "solubility parameter" or "b" refers to ability to solubilize or the solvent power of a solvent.

In the present compositions, hydrophobic hydrocarbon solvents are employed to aid in penetrating and achieving a partial dissolution of the waxy, olly residues in the substrate to improve the adhesion of the sealer. It is postulated that the hydrocarbon solvent attacks the accumulated residue and removes portions thereof, so water based finishing compositions can be successfully applied. Previously, hydrocarbon solvents were incorporated in aqueous, wax-polish emulsions in amounts sufficient to disperse or dissolve the wax as a water-out amulsion. Additional hydrocarbon solvent, beyond the minimum quantity required to disperse the wax, was unneeded and undesirable since the excess solvent could float to the surface of the emulsion and pose various difficulties.

It has now been found that excess hydrocarbon solvents, beyond that needed to disperse any wax therein, can be incorporated into an aqueous sealer composition providing that a proper selection of solvent and emulsifier are made, taking into account the solubility parameter of the solvent and the HLB (hydrophile-lipophile balance) of the emulsifier (or surfactant).

Solubility parameter is a term known to the art and is discussed in detail in the Kirk-Othmer Encyclopedia of Chemical Technology, 2nd Ed. Supplement, at pages 889-910 (1971. Solubility 15 parameter has been defined as the square root of the energy of vaporization per cubic centimeter of a solvent. Thus

$$\delta = \left(\frac{.\Delta E v}{v}\right)^{1/2};$$

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where  $\Delta E_{\rm V}$  is energy of vaporization and V is molar volume. Solubility parameter is also expressed as  $\delta^2 = \delta_{\rm D}^{\ 2} + \delta_{\rm P}^{\ 2} + \delta_{\rm H}^{\ 2}$ , where  $\delta_{\rm D}$  is the dispersion component of the solubility parameter;  $\delta_{\rm P}$  is the polar component of the solubility parameter and  $\delta_{\rm H}$  is the hydrogen bonding component of the solubility 26 parameter. Such parameters are available for a large number of solvents, as set forth in Table 1 on pages 892—896 of the Kirk-Othmer test reference, which reference is incorporated herein for pages

The concept of 'HLB' is well known to those with ordinary skill in the art and is set forth in particular, in Clues To Surfactant Selection Offered By The HLB System by W. C. Griffin, Official Digest, 30 June 1956, which is expressely incorporated herein. For the present composition, an oil-in-water emulsion, the solubility parameter of the solvent should be 7.8 to 9.8 and the HLB of the surfactant (or emulsifier) should be from 12.5 to 15.5. Mixtures of solvents and surfactants within the relationship expressed above are also employed.

Stable, self-polishing sealers for coating floors subjected to heavy traffic or maintained with 36 buffable wax polishes are provided which include an aqueous emulsion polymer floor coating composition having dispersed therein a hydrocarbon solvent having a solubility parameter from 7.8 to 9.8 and a nonionic surfactant to emulsify the solvent, having an HLB between 12.5 and 15.5. The floor coating composition can either be in emulsion, alkali-soluble or solution, form.

In general, the sealing compositions of the invention have a non-volatiles content from 7% to 25% 40 by weight of the total composition and, preferably, from 12-25% by weight of the composition. At lower ranges of non-volatiles, the gloss characteristics of the applied film and the durability of the film including the black heel mark resistance of the film, are somewhat reduced. At the higher range of solids, the converse is obtained, since greater film thickness is obtained.

In addition to the sealing compositions containing from 7 to 25% non-volatiles, the present 45 composition may also be prepared in a concentrated form containing up to 30-40% solids. Such concentrated compositions are diluted during use, either by mixing the concentrate with water or upon application thereof with a wet mop or applicator.

The coating compositions of the present invention can be applied by hand. For this and other purposes including stability, the pH of the sealing composition is adjusted from 6 to 10.5 to limit or 50 reduce any adverse dermal or other reactions. For the preferred emulsion floor coating compositions of the invention, the pH is between 7.5 and 9.6 and, most preferably, about 9.2.

The self-polishing, stable, film-forming agents are preferably emulsion floor coating compositions, alkall-soluble floor coating compositions or solution floor coating compositions. Typical emulsion floor coating compositions which may be suitable are disclosed in U.S. Patent 3,308,078, which is incorporated herein by reference. Typical alkali-soluble floor coating compositions which may be suitable are found in U.S. 3,320,196, which is incorporated herein by reference. Typical solution floor coatings which may be suitable are disclosed in U.S. 4,013,607, which is incorporated herein by reference.

The sealer compositions of the present invention employ a self-polishing film-forming polymer 60 and an emulsified hydrocarbon solvent. In the preferred floor sealing compositions, the film-forming polymer will include an emulsion polymer and an alkali-soluble resin. An emulsifying surfactant is present to disperse the hydrocarbon solvent. Other materials typically present in such floor coating compositions include leveling agents, plasticizers, emulsifiable waxes, alkanolic-film enhancers, pH modifiers (as ammonia), film modifiers, perfumes and the like.

The emulsion polymers employed in the emulsion polymer floor coatings are the conventional

polyligand emulsion polymers having a minimum film forming temperature (MFT) of less than about BO°C and formed from 0-20% of an acrylic acid (AA), or methacrylic acid (MAA) monomer (acidcontaining monomer) and from 80% to 100% of at least two ligand-free polymerizable monomers selected from acrylate or methacrylate derivatives and vinyl-aryl or vinyl-alkaryl monomers, as set forth € generally in U.S. Patent 3,308,078. Typical acrylates, methacrylates and vinyl aryl or alkaryl monomers are styrene(S), a-methylstyrene (AMS), vinyl toluene (VT), methyl methacrylate (MMA), ethyl methacrylate (EMA), ethyl acrylate (EA), butyl acrylate (BA), 2-ethylhexyl acrylate (EHA), and the like. The emulsion polymers employed preferably have a glass transition temperature (Tg) between -15°C and +75°C. For most applications it is preferred that the Tg is from -15°C to +25°C. The acid-10 containing monomer is included if some alkali strip ability is desired for the sealing composition.

Preferred emulsion polymers are formed from the following monomers in amounts expressed in

parts by weight.

30 methyl methacrylate/60 butyl acrylate/10 methacrylic acld

65 styrene/30 butyl acrylate/5 methacrylic acid 15 (B)

(C) 55 styrene/40 ethyl acrylate/5 methacrylic acid

58 styrene/30 ethyl acrylate/12 methacrylic acid (D)

49 methyl methacrylate/44 butyl acrylate/7 methacrylic acld

The Tg of (A) is -3°C. The Tg of (B) is 39°C. The Tg of (C) is 43°C. The Tg of (D) is 63°C. The Tg 20 of (E) is 17°C.

A particularly preferred emulsion polymer is formed from the following monomers: (F) 38-49 parts methyl methacrylate/48-52 parts butyl acrylate/5-9 parts methacrylic acid. The Tg of (F) is generally from 4-17°C.

The preferred emulsion polymers generally possess a weight average molecular weight over

about 100,000.

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in general, the emulsion polymers are employed in the sealing compositions in amounts from 0 to 99% by weight of solids, usually from 20 to 90% by weight of solids and, preferably from 50 to 80% by weight of solids. All solids weights are based on the total weight of solids of the composition.

Conventional alkali soluble resins having a weight average molecular weight from 500 to 10,000 and preferably from 1000 to 5000 are also employed as film-formers. These can include condensation type resins having an acid number from 120 to 220, addition type resins having an acid number from 140 to 300 and mixtures thereof. The addition type resins are formed from a vinyl aryl or vinyl alkaryl monomer and acrylic acid or methacrylic acid or maleic acid monomer. Other unsaturated acid monomers can be employed, such as crotonic acid, fumaric acid, and Itaconic acid. Typical preferred alkali soluble resins are formed from the following monomers: styrene/acrylic acid; styrene/maleic acid, alpha methyl styrene/ styrene/ butyl acrylate/acrylic acid and methyl methacrylate/ methacrylic acid.

The addition type alkali soluble resins are often employed as a conventional resin cut, which is an 40 aqueous solution of the resin with an alkaline substance having a fugitive cation (such as ammonium hydroxide).

If desired, other commercially available alkali soluble resins can be employed, such as rosin/maleic anhydride adducts which are condensed with polyols.

The particular alkali soluble resin and level of addition to the sealer composition are selected based upon the appropriate balance of gloss, leveling and adhesion desired. In general, the alkali soluble resin is employed in amounts from 0 to 92% by weight of solids, more preferably from 0 to 40% by weight of solids, and when employed as a resin cut in an emulsion floor sealing composition, from 10 to 15% by weight of solids.

A preferred alkali soluble resin is formed from 67% styrene and 33% acryllc acid, said resin having

a weight average molecular weight from 8500 to 9500 and an acid number of 170 to 200.

The alkali soluble resin can be employed without an emulsion polymer to form a solution coating sealer composition. It can also be employed with an emulsion polymer in varying proportions to provide an alkali soluble or emulsion type sealing composition. In an alkali soluble system, from 30 to 50% by weight of solids of alkali soluble resin is employed with 20 to 60% by weight of solids of emulsion polymer.

The preferred emulsion polymer system utilizes from 50 to 80% by weight of solids of an

emulsion polymer and 10 to 20% by weight of solids of an alkali soluble resin.

The hydrocarbon solvent is a weakly-hydrogen-bonded solvent having a solubility parameter,  $\delta$ , between 7.8 and 9.8. Solvents which function as adhesion promoters in the present invention include certain aromatic hydrocarbons, paraffinic hydrocarbons, halohydrocarbons, ketones and esters. Examples of such hydrocarbon solvents include toluene ( $\delta$ =8.9), naptha ( $\delta$ =7.8), dipentene ( $\delta$ =8.5), trichloroethane ( $\delta$ =9.6) methyl isobutylketone ( $\delta$ =8.4), ethylacetate ( $\delta$ =9.1), carbitol acetate ( $\delta$ =8.5), 2-ethyl hexanol ( $\delta$ =9.8).

The non-aromatic solvents can sometimes be incompatible with resilient floor materials.

However, such solvents are effective for use with coated metal parts and machinery.

Aromatic hydrocarbon solvents are preferred for floor sealing compositions of the invention. An

especially preferred solvent is xylene, which is a  $\delta$  of 8.8.

Hydrocarbon solvents are normally employed in amounts from 0.1 to 20% by weight of the . aqueous sealing composition, although greater or lesser amounts can be employed in certain cases. Preferably the solvents are utilized in amounts from 1 to 15% by weight of the composition and, for best results, from 3% to 5% by weight of solvent is employed.

Nonlonic surfactants are employed, which serve to; enhance the dispersability of the hydrocarbon solvent, increase the storage stability of the composition, reduce the tendency of the composition to foam or bubble on application, and allow even film formation of the composition upon application

(leveling).

To emulsify the solvent, a nonlonic surfactant is employed. Preferred nonlonic surfactants are the ethylene oxide and/or propylene oxide derivatives of alkyl phenois, allphatic acids, aliphatic alcohols, glycols, glycol ethers, alkaryl esters and vegetable oils. The surfactants should have a hydrophilic-

lipophilic balance (HLB) between 12.5 and 15.5, preferably between 13.5 and 14.5.

Especially suitable nonionic surfactants include the fatty acid esters of sorbitol copolymerized with at least about 20 moles of ethylene oxide, such as polyoxyethylene (20 moles) sorbitan monocleate (HLB 15.0). Mixtures of such sorbitan derivatives with the partial esters of fatty acids (such as lauric, stearic, palmitic, oleic) condensed with hexitol anhydrides derived from sorbitol, are also preferred.

An especially preferred nonlonic surfactant is an ethylene oxide modified alkyl phenol and 20 particularly, nonyl phenol modified with 12 moles of ethylene oxide having an HLB of 14.1.

The nonlonic surfactant is generally employed in amounts from 0.05 to 8% by weight of solids.

It has been found that fatty acids can act as a leveling aid and can assist in emulsifying the hydrocarbon solvent. In general, typical fatty acids include the C<sub>12</sub>—C<sub>18</sub> saturated or unsaturated fatty acids of animal or vegetable origin. Fatty acids synthesized from petroleum feedstocks, are also 25 employed. The preferred fatty acid is a so-called tall oil fatty acid (TOFA), which is a mixture of rosin acids, fatty acids and other material obtained by the acid treatment of the alkaline liquors derived from the digesting of pine wood. The tall oil fatty acids contain 35-40% rosin acids and 50-60% fatty acids. Other saturated fatty acids include lauric, palmitic and stearic acids. Unsaturated fatty acids include oleic acid.

Usually the fatty acids are employed in amounts from 0-10% by weight of solids, preferably

from 2 to 5% by weight of solids.

Where the sealing compositions of the invention are to be employed as sealer-polishes or in other high gloss formulations exposed to foot traffic, as floor coatings, it is preferable to incorporate a wax to improve the wear properties of the composition. The waxes or mixtures of waxes which may be used 35 include waxes of a vegetable, animal, petroleum derived, and/or mineral, origin. Typical waxes include carnauba wax, candelilla wax oxidized Fischer-Tropsch wax, microcrystalline wax, lanolin; bayberry wax, paim kernal wax, mutton tallow wax, emulsifiable polyethylene wax, polyethylene copolymers, emulsifiable petroleum derived waxes, montan wax derivatives, and emulsifiable polypropylene wax.

A preferred wax is an oxidized polyethylene wax.

The waxes are employed in amounts from 0 to 30% by weight of solids, and preferably from 2 to

5% by weight of solids.

The compositions may contain from 0 to 20% by weight plasticizers whereever necessary to provide a film of suitable hardness and appearance. It has been found that most hard resins can be plasticized to improve their film forming properties. Such resins often have a Tg from 25°C to 75°C. 45 Since the purpose of the plasticizer is to impart film forming properties to the coating composition and since it is not always necessary to impart flexibility to the resin composition when it is inherently tough and flexible, a fugitive or semi-fugitive plasticizer may sometimes be employed rather than a permanent plasticizer. Mixtures of fugitive and permanent plasticizers may also be employed.

Examples of essentially permanent plasticizers that are suitable include benzyl butyl phthalate, 60 dibutyl phthalate, dimethyl phthalate, triphenyl phosphate, 2-ethylhexyl benzyl phthalate, butyl cyclohexyl phthalate, mixed benzoic acid and fatty oil acid esters of pentaerythritol poly (propylene adipate) dibenzoate, diethylene glycol dibenzoate, tetrabutylthiodi-succinate, butyl phthalyl butyl glycolate, triethyl citrate, acetyl triethyl citrate, tributyl citrate, acetyl tributyl citrate, dibenzyl sebacate, tricresyl phosphete, toluene ethyl sulfonamide, the di-2-ethylhexyl ester of hexamethylene glycol 55 diphthalate, di-(methylcyclohexyl)-phthalate and tributyl phosphate.

Examples of fugitive plasticizers include the monoethyl or monomethyl ether of diethylene glycol, isophorone, benzyl alcohol, and 3-methoxybutanol-1. The particular amount of plasticizer employed is

chosen in accordance with the demand for compatability and film flexability.

A particularly preferred plasticizer, which also serves as a leveling agent, is tributoxyethyl phosphate.

For most purposes from 5-10% by weight of solids of plasticizer is employed. Lesser amounts may be utilized where polymers having low glass transition temperatures, predominate.

In order to enhance the characteristics of a film formed from the instant sealing composition, from -10% by weight of a polyhydroxy polyether, a lower alkanol or high-boiling glycol can be employed. 65 These organic solvents aid in extending the drying time of the coating composition. Also, more

Importantly they aid in coalescing the polymer and wax particles to provide a more uniform film, as well as imparting increased gloss and leveling characteristics to the compositions. Typical polyethers include mono- and di- alkyl ethers of di- ethylene glycol and their derivatives, also known as Carbitols (RTM). Typical glycols are ethylene glycol, polyethylene glycol, propylene glycol, polypropylene glycol, etc., while the alkanols include isopropanol, butanol, etc. A particularly preferred polyether is diethylene glycol monoethyl ether, also identified herein as Carbitol. The polyethers can be used alone or combined with the alkanols or polyhydric alcohols, such as ethylene glycol.

For the purpose of this invention from 10-7% by weight of composition of the polyether is

employed.

In addition to the leveling function imparted by the plasticizer and surfactants, the composition of the invention may include from 0—1% by weight of solids of a leveling agent, such as the fluorochemical leveling agents disclosed, inter alla, in U.S. Patent 2,937,098 and U.S. Patent 3,163,547.

Aqueous ammonia is present in the compositions of the invention in a concentration sufficient to provide a pH of from 6 to 10.5. Ammonia may be provided in the coating composition in the form of concentrated ammonium hydroxide. A portion or all of the ammonium hydroxide may be replaced with sodium or potassium hydroxide or an organic amine. However, such replacement is usually accompanied by a decrease in the water resistance (spotting resistance) of the product.

In general, typical floor sealing compositions of the invention comprise by total weight of composition from: 12—70% water; 25—40% emulsion floor polish polymer; 3—20% alkali soluble resin; .01 to 20%, preferably 1—15%, most preferably, 3—5%, hydrocarbon solvent; 0—18% and preferably, 1—3% wax emulsion; 0.05—0.75% nononic surfactant; 0.2—1% fatty acid; 0.2—1% ammonia; 0.5—3% plasticizer, 0.01—1% fluorochemical leveling agent and 0—1% polyether alcohol.

If desired formaldehyde or other antimicrobial agents may be employed in conventional amounts (0.1%) to prevent undesired haze or odors from developing in the compositions upon prolonged storage

25 and to otherwise ensure biological stability.

The compositions of the invention may also be used for impregnating and sealing the pores of textiles, leather, paper or other porous or fibrous materials. They may also be applied to plastic sheets such as cellophane, polyethylene, polyethylene glycol terephthalate, saran and the like. They may also be applied to rigid surfaces, including metals, such as steel, aluminum, copper, tin, wrought iron and painted surfaces such as auto bodies. The compositions can also be applied to wood, stone, brick, glass, cement, asbestos shingles or siding, terrazzo, and concrete surfaces, such as floors and the like. The compositions are particularly preferred for sealing the polishing floors and plastic tiles, such as lineleum, asphalt, vinyl and vinyl-asbestos.

in general, the ingredients of the present compositions may be admixed in the order desired.

Where a wax is employed in the coating compositions, it is usually added to the composition in the form of an emulsion. Typical wax emulsions contain 20 to 40% by weight solids and are formed by dispersing the wax in water by means of a suitable dispersing agent. Suitable agents include sodium salts of higher fatty acid sulfates, the higher fatty acid salts, ethylene oxide modified alkyl phenois and other conventional dispersing agents.

The hydrocarbon solvent is usually premixed with the nonlonic surfactant and added to the charge

as a solvent-surfactant homogeneous dispersion, under agitation.

The compositions of the invention can be applied to surfaces in a conventional fashion. In a preferred embodiment, as in a floor sealing composition; the composition is applied as follows. The floor is cleaned with a commercially available hard surface stripper cleaner. After a thoroughly rinsing the floor is allowed to dry. Next, a preferred formulation for use as a self-polishing floor sealer is applied by a suitable mopping, swabbing or dipping procedure. The film is allowed to dry. The sealed substrate can be recoated with a conventional emulsion floor finish to obtain maximum scuff resistance and durability.

A preferred composition adapted for use as a self-polishing floor sealer is set forth below. For each component percent by weight of total solids, parts solids, and percent by weight of composition, is provided.

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	Components	Preparation Example I % Solids	Solids	% Composition
-	Emulsion polymer 41MMA/52BA/	7.150	11.44	32.69
5	7MAA 35% solids solution Alkall soluble resin 67S/33AA 20% solids solution	15.00	2.40	12.00 .
	Xviena			3.00
	Nonvi phenoi condensed/12	0.94	0.15	0.15
10	moles ethylene oxide Oxidized polyethylene wax	3.00	0.48	1.92
	emulsion 20% solids	2.50	0.40	0.40
15	Tall oil fatty acids		1.12	1.12
	Tributoxyethyl phosphate plasticizer	7.00	.,	0.40
	Ammonia (28% solution)			0.30
	Diethylene glycol monomethyl ether	0.06	0.01	0.04
	Fluorochemical leveling agent Water	0.00	0.01	47.98
20		100.00	16.00	100.00

The composition was prepared by the procedure set forth hereinafter. This preparation procedure is illustrative for the various sealing systems which can be formulated in accordance with the present invention.

To prepare the inventive formulation, the water, fluorochemical leveling agent and ammonia were admixed. Under agitation, the tall oil fatty acid was added to form an intermediate mix. In a separate containing xylene and the nonlonic surfactant were mixed until the surfactant was completely dissolved in the xylene. Next, under agitation, the xylene-surfactant blend was added to the intermediate mix and the resulting mixture was agitated to form a uniform blend. Next the emulsion polymer (Tg=5°C) and 30 alkell soluble resin were sequentially added to the uniform blend. Finally, the plasticizer and wax emulsion were added thereto under agitation and the resulting composition, filtered. The non-volatiles were 16%. The pH was about 9.2.

The compositon was tested on a floor maintained with buffable wax polishes. The gloss of the composition was at least comparable to conventional water based finishes. The adhesion and spread was superior to conventional water based floor sealers.

The sealing compositions can also be applied to the numerous substrates as set forth hereinbefore, by various methods including application with a rag, mop, brush, non-woven cloth and by spraying and/or dipping.

The following examples are provided to further illustrate the present invention and are not limitive 40 of scope. All temperatures are in degrees centigrade and all parts and percentages are by weight.

in order to demonstrate the effectiveness of the present invention a self-polishing, emulsion polymer sealing composition was prepared. The composition of the sealer was as follows with 45 ingredients present in percent by weight of composition:

<i>50</i>	Polymer*  Xylene  Nonyiphenoi+12 moles ethylene oxide Fatty acid Fluorocarbon leveling agent (1% solids) Diethylene glycol monomethyl ether Water	45.4 2.0 0.1 0.4 1.0 0.3 50.8
56	·	100.0

<sup>\* 35%</sup> emulsion polymer of 38 MMA/52BA/5MAA having a Tg of 40°C.

To prepare the composition, the water, DGME, fatty acid and fluorocarbon leveling agent were admixed, sequentially. The xylene and surfactant were premixed and added to the aqueous solution with agitation. The mix was stirred for 5 minutes and filtered. A stable, self-polishing sealer is obtained.

The sealer is applied to a vinyl floor, previously maintained with a buffable floor finish. After two, four and 24 hours, a piece of Scotch brand cellophane tape is applied across the finish and peeled off thereafter. The tape and floor are examined to determine if the film has been removed. The adhesion of the sealer film after 2, 4 and 24 hours was satisfactory and the tack after 2 hours was satisfactory. The sealer finish exhibits a high degree of gloss, and levels acceptably.

The sealing composition contained 99.3% of emulsion polymer solids. No alkali soluble resin, wax emulsion or plasticizer were present in this formulation.

## Example II

To illustrate the scope of emulsion polymers useful in the present invention five sealing compositions were prepared substantially as set forth in Preparation Example I with the exception that the following emulsion polymers were substituted in place of the emulsion polymer employed therein:

- 65S/30BA/5MAA; Tg 39°C; 35% nonvolatiles -26.8 parts
- 55S/40EA/5MAA; Tg 43°C; 35% nonvolatiles -- 26.8 parts 58S/30EA/12MAA; Tg 63°C -- 23.4 parts II-B 10
  - II-C
  - 48 MMA/47EHA/5MAA; Tg (--) 14°C; 25 parts 53 MMA/43EHA/5MAA; Tg (--) 6°C; 25 parts II-D
  - II-E

Tack, adhesion, gloss and leveling properties of the applied sealing film were satisfactory. 15

## Example III

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To demonstrate the effectiveness of a sealing composition formed from an alkali soluble floor coating, the following composition was prepared in accordance with Example I and tested:

		% Of composition
	Emulsion polymer*	14.3
	Alkali soluble resin**	56.5
25	Xylene	3.0
	Nonyiphenol+12E0	0.1
	Tall oil fatty acids	0.4
	Tributoxyethyl phosphate	1.1
	Wax emulsion***	1.7
<i>30</i>	28% Ammonium hydroxide	0.4
	Carbitol	0.3
	Fluorocarbon leveling agent	1.0
	Water	21.2
35		100.0

<sup>\* 49</sup> MMA/44BA/7MAA; 35% non-volatiles; Tg: 17°C

Adhesion and tack were superior to the sealer of Preparation Example i.

## Example IV

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In order to demonstrate the utility of a sealer composition employing a solution floor coating polymer system, the following composition was prepared according to Example I and tested:

		% Of composition
50	Alkali soluble resin cut (67S/33AA) (20% nonvolatiles)	73.70
	Xviene	3.00
	Nonylphenol+12EO	0.15
	Plasticizer (Dibutyl phthalate)	1.10
	Leveling agent	1.00
<i>55</i>	Carbitol	0.30
	Water	20.75
		100.00

The alkali resin solids were 92% of the total nonvolatiles. The adhesion and tack of the sealer are satisfactory, when applied to a floor maintained with a buffable wax.

#### Example V

In order to demonstrate the effectiveness of the various hydrocarbon solvents employed in the 65 present invention, eight sealer compositions were prepared similar to that in Preparation Example I. The

<sup>\*\* 67</sup>S/33AA; 11.3% resin solution

<sup>\*\*\* 20%</sup> solids, oxidized polyethylene emulsion

following solvents were substituted for xylene at a 10% level of addition: methyl isobutylketone, ethyl acetate, carbitol acetate, 2-ethylhexanol, toluene, naphtha, dipentene and trichloroethane. The adhesion and tack of such compositions, when applied to a floor, were almost as good as the xylenecontaining composition.

Example VI

In order to illustrate the levels of addition of hydrocarbon solvents employed in the present invention, two sealing compositions similar to that illustrated in Preparation Example I were prepared, wherein 0.1 by weight of toluene and 20% by weight of xylene, respectively, were employed in place of vylene at the 3% level of addition. Similar results were obtained with regard to adhesion and tack.

In order to show the levels of addition of non-lonic surfactants useful in the compositions of the invention, two floor sealing compositions similar to that illustrated in Preparation Example 1 were prepared employing 0.05% by weight of sollds of nonlonic surfactant and 8% by weight of solids of nonionic surfactant in place of 0.9% employed therein. Similar results were obtained regarding 15 adhesion and tack.

Comparative Example I

To demonstrate the results obtained when a sealing composition is prepared without emulsified xylene, two compositions were prepared, each containing:

20			% Of composition
25	and	Emulsion polymer* Alkali soluble resin** 28% Ammonium hydroxide Wax emulsion*** Tributoxyethyl phosphate Tall oil fatty acids Fluorocarbon leveling agent Water	62.5 8.0 0.5 2.0 1.1 0.8 1.0 24.1
			100.0

- \* 4:1 mixture of 43 MMA/52BA/5MA; 35% non-volatiles; Tg: 4°C and 65S/20EA/15MAA; 35% non-volatiles;
  - Tg: 80°C

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- \*\* 67S/33AA; 20% non-volatiles
- \*\*\* Polyethylene wax emulsion; 40% non-volatiles

The first composition did not contain an emulsified xylene, while the second composition contained 5% by weight of xylene, emulsified with 0.3% by weight of nonylphenoi+12EO.

The second composition exhibited superior spread and better adhesion, when applied to heavily used vinyl floor tiles. A third composition was prepared employing xylene which was not emulsified with a surfactant. The results also showed that emulsified xylene sealer exhibis better spread than the nonemulsified xylene sealer.

## Claims

- A storage stable, self-polishing, sealing composition for a substrate which is subject to accumulation of hydrophobic organic residues which reduce the adhesion of said substrate to waterbased finishing compositions, said composition comprising: an aqueous vehicle having therein a selfpolishing, film-forming polymeric coating agent; and an emulsified hydrocarbon solvent having a solubility parameter of from 7.8 to 9.8 which is present in sufficient amounts to penetrate said accumulated hydrophobic organic residues and increase the adhesion of said substrate to water-based finishing compositions; and a non-ionic surfactant compatible with said hydrocarbon solvent wherein said surfactant has an HLB of 12.5-15.5.
- 2. A self-polishing, sealing composition according to claim 1, comprising 0.1% to 20% by weight of hydrocarbon solvent of solubility parameter from 7.8 to 9.8 to penetrate said residue and a non-ionic surfactant compatible with said hydrocarbon solvent and having an HLB between 12.5 and 15.5 to emulsify said solvent.
  - 3. A self-polishing, sealing composition according to claim 1 comprising from 1-15% by weight of said hydrocarbon solvent.
- 4. A self-polishing, sealing composition according to any of claims 1 to 3, comprising an aqueous, 65 emulsion-polymer floor coating composition.

- A self-polishing, sealing composition according to any of claims 1 to 3 comprising an aqueous, alkali-soluble floor coating composition.
- A self-polishing, sealing composition according to any of claims 1 to 3, comprising an aqueous, solution floor coating composition.
- 7. A sealing composition according to claim 1 wherein the sealing composition comprises: from 7 to 25% by weight of the composition of solids, said solids comprising from: 0 to 99% weight of an emulsion polymer having a glass transition temperature (Tg) from -15°C to +75°C; 0 to 92% by weight of an alkali-soluble resin, wherein the total weight of said emulsion polymer and said alkali soluble resin is at least 20% by weight; 0 to 30% by weight of a wax; 0 to 10% by weight of a fatty acid; 0.05 to 8% by weight of a surfactant having an HLB from 12.5 to 15.5; 0 to 20% by weight of a plasticizer; 0 to 1% by weight of a leveling agent; from 0.1 to 20% by weight of the composition of a hydrocarbon solvent having a solubility parameter from 7.8 to 9.8 and the balance of the sealing composition comprising an aqueous vehicle having sufficient ammonia present to provide a pH from 6 to 10.5.
- 8. A self-pollshing, sealing composition according to claim 7 comprising from 50 to 80% by weight of said emulsion polymer; from 10 to 15% by weight of said alkall soluble resin; from 3 to 5% by weight of said wax; from 2 to 5% by weight of said fatty acid; from 1 to 4% by weight of a nonionic surfactant; from 5 to 10% by weight of said plasticizer; and from 0 to 1% by weight of said leveling agent, together with from 3% to 5% by weight of said hydrocarbon solvent, the balance being water, sufficient aqueous ammonia being added to provide the composition with a pH from 6 to 10.5.

## Patentansprüche

- 1. Lagerbeständige, selbstpollerende Versiegelungsmasse für ein Substrat, auf dem sich hydrophobe organische Rückstände ansammeln, die das Anhaften von wäßrigen Oberflächenbehandlungsmitteln auf dem Substrat beeinträchtigen, dadurch gekennzeichnet, dass die Dichtmasse ein wäßriges Dispersionsmittel enthält, die ein selbstpollerendes filmbildendes polymeres Überzugsmaterial enthält, sowie ein emulgiertes Kohlenwasserstofflösungsmittel, das ein Lösungsvermögen von 7, 8 bis 9, 8 besitzt und in einer solchen Menge vorhanden ist, dass es die angesammelten hydrophoben organischen Rückstände durchdringt und die Haftung von wäßrigen Oberflächenbehandlungsmitteln an dem Substrat verbessert, sowie ein mit dem Kohlenwasserstofflösungsmittel verträgliches, nichtionisches Tension, das einen hydrophil-lipophilen Gleichgewichtswert (HLB-Wert) von 12,5 bis 15,5 hat.
- 2. Selbstpolierende Versiegelungsmasse nach Anspruch 1, dadurch gekennzeichnet, daß sie 0,1 35 bls 20 Gew.% eines zum Durchdringen der Rückstände geeigneten Kohlenwasserstofflösungsmittels mit einem Lösungsvermögen von 7,8 bis 9,8 und ein zum Emulgieren des Kohlenwasserstofflösungsmittel dienendes und mit ihm verträgliches Tensid mit einem HLB-Wert von 12,5 bis 15,5 enthält.
  - 3. Selbstpolierende Versiegelungsmasse nach Anspruch 1, dadurch gekennzeichnet, daß sie das Kohlenwasserstofflösungsmittel in einer Menge von 1 bis 15 Gew.% enthallt.
  - Selbstpolierende Versiegelungsmasse nach einem der Ansprüche 1 bis 3, dadurch gekennzeichnet, daß sie eine emulsionspolymerisierte Flußbodenüberzugsmasse in wäßriger Dispersion enthält.
  - 5. Selbstpolierende Verslegelungsmasse nach einem der Ansprüche 1 bis 3, dadurch gekennzeichnet, daß sie eine alkalilösliche Fußbodenüberzugsmasse im wäßriger Dispersion enthält.
  - 6. Selbstpolierende Versiegelungsmasse nach einem der Ansprüche 1 bis 3, dadurch gekennzeichnet, daß sie eine Fußbodenüberzugsmasse in wäßriger Lösung enthält.
- 7. Versiegelungsmasse nach Anspruch 1, dadurch gekennzeichnet, daß sie in einer Menge von 7 bis 25 Gew.% der Zusammensetzung Feststoffe enthält, die zu 0 bis 99 Gew.% aus einem Emulsionspolymer mit einer Einfriertemperatur (Tg) von —15 bis +75°C und zu 0 bis 92 Gew.% aus einem alkalilöslichen Harz bestehen, wobel das Gesamtgewicht des Emulsionspolymers und des alkalilöslichen Harzes mindestens 20 Gew.% beträgt, ferner zu 0 bis 30 Gew.% aus einem Wachs, zu 0 bis 10 Gew.% aus einer Fettsäure, zu 0,05 bis 8 Gew.% aus einem Tensi mit einem HLB-Wert von 12,5 bis 15,5, zu 0 bis 20 Gew.% aus einem Weichmacher, zu 0 bis 1 Gew.% aus einem Verlaufmittel und zu 0,1 bis 20 Gew.% aus einem Kohlenwasserstofflösungsmittel mit einem Lösungsvermögen von 7,8 bis 9,8 und die restliche Menge der Versiegelungsmasse von einem wäßrigen Dispersionsmittel gebildet wird, das so viel Ammoniak enthält, daß der pH-Wert 6 bis 10,5 beträgt.
  - 8. Selbstpolierende Dichtzusammensetzung nach Anspruch 7, dadurch gekennzeichnet, daß sie zu 50 bis 80 Gew.% aus dem Emulsionspolymer, zu 10 bis 15 Gew.% aus dem alkalilöslichen Harz, zu 3 bis 5 Gew.% aus dem Wachs, zu 2 bis 5 Gew.% aus der Fettsäure, zu 1 bis 4 Gew.% aus einem nichtionischen Tensid, zu 5 bis 10 Gew.% aus dem Weichmacher, zu 0 bis 1 Gew.% aus dem Verlaufmittel und zu 3 bis 5 Gew.% aus dem Kohlenwasserstofflösungsmittel besteht und soviel zugesetztes wäßriges Ammonial enthält, daß die Zusammensetzung einen pH-Wert von 6 bis 10,6 hat.

## Revendications

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1. Composition d'étanchement stable au magasinage, autobrillante, pour un subtrat qui est sujet à

une accumulation de résidus organiques hydrophobas qui réduisent l'adhérence de ce substrat à des compositions de finition à base aqueuse, cette composition comprenant: un véhicule aqueux contenant un agent polymère filmogène de revêtement, à effet d'autobrillantage; et un solvent hydrocarboné émulsifié ayant un paramètre de solubilité de 7,8 à 9,8, qui est présent en des quantités suffisantes pour pénétrer lesdits résidus organiques hydrophobes accumulés et pour augmenter l'adhérence dudit substrat à des compositions de finition à base aqueuse; et un tensioactif non lonique, compatible avec ledit solvant hydrocarboné, ce tensioactif ayant un rapport hydrolipophile compris entre 12,5 et 15,5.

Composition d'étanchement à effet d'autobrillantage selon la revendication 1, comprenant 0,1 à 20% en poids d'un solvent hydrocarboné présentant un paramètre de solubilité de 7,8 à 9,8 pour pénétrer ledit résidu et un tensioactif non ionique compatible avec ce solvant hydrocarboné et ayant un

rapport hydrolipophile comprise entre 12,5 et 15,5 pour émuisifier ce solvant.

3. Composition d'étanchement à effet d'autobrillantage selon la revendication 1, comprenant 1 à

15% en poids du solvant hydrocarboné.

4. Composition d'étanchement à effet d'autobrillantage selon l'une quelconque des revendications 1 à 3, comprenant une composition aqueuse d'un polymère en émulsion pour le revêtement des sols.

5. Composition d'étanchement à effet d'autobrillant selon l'une quelconque des revendications 1 à 3, comprenant une composition aqueuse, soluble dans les substances alcalines, pour le revêtement

6. Composition d'étanchement à effet d'autobrillantage selon l'une quelconque des revendications 1 à 3, comprenant une composition aqueuse, en solution, pour le revêtement des sols.

7. Composition d'étanchement selon la revendication 1, dans laquelle cette composition comprend: de 7 à 25% (du poids de la composition) de solides, leaquels comprennent de 0 à 99% en poids d'un polymère en émulsion ayant une température de transition vitreuse (T<sub>v</sub>) comprise entre -15°C et +75°C; 0 à 92% en poids d'une résine soluble dans les substances alcalines, le poids total du polymère en émulsion et de la résine soluble dans les substances alcalines représentant au moins 20% en poids; O à 30% en poids d'une cire; O à 10% en poids d'un acide gras; 0,05 à 8% en poids d'un tensioactif ayant un rapport hydrollpophile de 12,5 à 15,5; 0 à 20% en polds d'un plastifiant; 0 à 1% en poids d'un agent d'étalement; de 0,1 à 20% du poids de la composition d'un solvant hydrocarboné avant un paramètre de solubilité de 7.8 à 9.; le reste de la composition d'étanchement comprenant un véhicule aqueux contenant une quantité d'ammoniaque suffisante pour assurer un pH de 6 à 10,5.

8. Composition d'étanchement à effet d'autobrillantage selon la revendication 7, comprenant de 50 à 80% en poids du polymère en émulsion; de 10 à 15% en poids de la résine soluble dans les substances alcalines; de 3 à 5% en poids de la cire; de 2 à 5% en poids de l'acide gras; de 1 à 4% en poids d'un tensioactif non ionique; de 5 à 10% en poids du plastifiant; et de 0 à 1% en poids de l'agent d'étalement, avec de 3 à 5% en polds du solvant hydrocarboné, le reste étant de l'eau, avec addition d'une quantité d'ammoniaque aqueuse suffisante pour conférer à la composition un pH compris entre 6

et 10,5.

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